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# Braids and branched coverings of dimension three (Intelligence of Low-dimensional Topology)

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## Braids and branched coverings of dimension three

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### 1 Introduction

This is on a part of our work in progress, which was introduced at the conference “Intelligence of Low-dimensional Topology” held in RIMS in May, 2012. The purpose of our research is to understand branched coverings and  $m$ -dimensional braids which are generalizations of classical braids. Here we discuss chart descriptions of branched coverings and braids in dimension  $m = 2$  first, and then those for which  $m = 3$ .

We work in the PL category ([9, 20]). Let  $S^m$  denote the  $m$ -sphere, and let  $M^m$  denote a closed oriented  $m$ -manifold.

### 2 Preliminaries

We start by giving some definitions and theorems on branched coverings.

**Definition 2.1** A PL map  $f : M^m \rightarrow S^m$  is a *branched covering (map)* if there exists an  $(m - 2)$ -subcomplex  $L$  of  $S^m$  such that the restriction  $\underline{f} : M^m \setminus f^{-1}(L) \rightarrow S^m \setminus L$  is a covering map.

We denote the covering degree by  $d$ . We call  $f$  a  $d$ -fold branched covering.

We assume that  $L$  is minimum, i.e.,  $\forall y \in L, \#(f^{-1}(y)) < d$ . Then we call  $L$  the *branch set* of  $f$ .

**Definition 2.2** A  $d$ -fold branched covering  $f$  is *simple* if  $\forall y \in L, \#(f^{-1}(y)) = d - 1$ .

**Remark 2.3** (1) A branched covering is defined in general as follows (cf. [2, 3]): A PL map between manifolds is called *proper* if the inverse image of the boundary is the boundary. A proper PL map between manifolds  $f : M^m \rightarrow N^m$  is called a branched covering if it is finite-to-one and open.

(2) A branched covering  $f : M \rightarrow N$  is *primitive* if  $f_* : \pi_1(M) \rightarrow \pi_1(N)$  is surjective. It is often assumed that a branched covering is primitive.

Note that  $M^m$  is closed, oriented and connected in what follows in this section.

**Theorem 2.4 (J.W. Alexander [1])** *For any closed oriented and connected  $m$ -manifold  $M^m$ , there exists a simple branched covering  $f : M^m \rightarrow S^m$  for some degree  $d$ .*

**Remark 2.5** (1) A closed oriented and connected 1-manifold  $M^1$  is homeomorphic to  $S^1$ . Thus there exists a 1-fold covering  $f : M^1 \rightarrow S^1$ .

(2) For any closed oriented and connected 2-manifold  $M^2$ , there exists a 2-fold simple branched covering  $f : M^2 \rightarrow S^2$ .

**Theorem 2.6 (H. M. Hilden [8], J. M. Montesinos [17])** *For any closed oriented and connected 3-manifold  $M^3$ , there exists a 3-fold simple branched covering  $f : M^3 \rightarrow S^3$  such that the branch set  $L$  is a link (or a knot).*

The following is a conjecture due to Montesinos.

**Conjecture 2.7** *For any closed oriented and connected 4-manifold  $M^4$ , there exists a 4-fold simple branched covering  $f : M^4 \rightarrow S^4$  such that  $L$  is an embedded surface in  $S^4$ .*

Some partial answers to this conjecture are known as follows.

**Theorem 2.8 (R. Piergallini [19])** *For any closed oriented and connected 4-manifold  $M^4$ , there exists a 4-fold simple branched covering  $f : M^4 \rightarrow S^4$  such that  $L$  is an immersed surface in  $S^4$ .*

**Theorem 2.9 (M. Iori and R. Piergallini [11])** *For any closed oriented and connected 4-manifold  $M^4$ , there exists a 5-fold simple branched covering  $f : M^4 \rightarrow S^4$  such that  $L$  is an embedded surface in  $S^4$ .*

### 3 Two dimensional case ( $m = 2$ )

Let  $f : M^2 \rightarrow S^2$  be a  $d$ -fold simple branched covering with branch set  $L$ , and let  $\underline{f} : M^2 \setminus f^{-1}(L) \rightarrow S^2 \setminus L$  be the associated covering map.

Take a base point  $*$  of  $S^2 \setminus L$  to consider the fundamental group  $\pi_1(S^2 \setminus L, *)$ . The preimage  $\underline{f}^{-1}(*)$  of the base point  $*$  consists of  $d$  points of  $M^2$ . Then we have a *monodromy*  $\rho : \pi_1(S^2 \setminus L, *) \rightarrow S_d$ , where the symmetric group  $S_d$  on letters  $\{1, 2, \dots, d\}$  is identified with the symmetric group on  $\underline{f}^{-1}(*)$ . (A monodromy  $\rho$  depends on the identification between  $\{1, 2, \dots, d\}$  and  $\underline{f}^{-1}(*)$ .) The covering  $\underline{f}$  is determined by the monodromy.

By the Riemann-Hurwitz formula,  $L$  consists of an even number of points.

In Figure 1, a branch set, a monodromy, and a chart are depicted. (A chart description is explained later.)

When a monodromy is described by a chart, it is easy to construct  $M^2$ . We explain it by using an example. Let  $\Gamma$  be the chart depicted on the right of Figure 1. Consider three copies of  $S^2$  labeled by 1, 2, and 3, say  $S_1^2$ ,  $S_2^2$  and  $S_3^2$ , respectively. On the copy  $S_1^2$ , draw the edges with label (12) of  $\Gamma$ , on the copy  $S_2^2$ , draw the edges with label (12) of  $\Gamma$  and those with label (23), and on the copy  $S_3^2$ , draw the edges with label (23). Cut the three 2-spheres along these edges, and we obtain three compact surfaces, say  $M_1$ ,  $M_2$  and  $M_3$ , as in the bottom of Figure 2. The surface  $M^2$  is obtained from the union  $M_1 \cup M_2 \cup M_3$

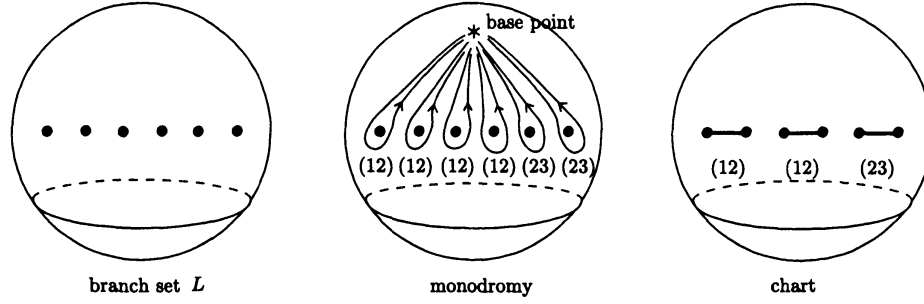
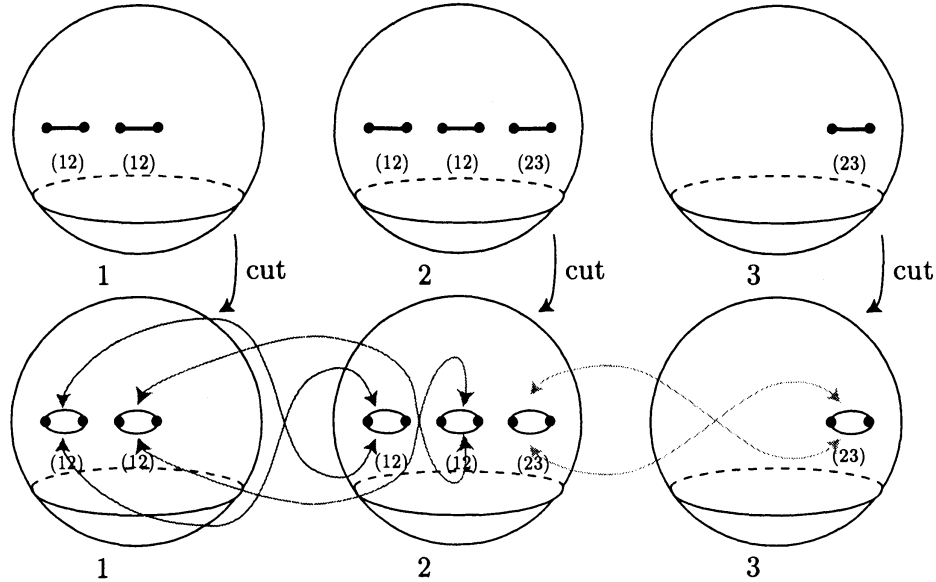


Figure 1: A branch set, a monodromy and a chart

by identifying the boundary as follows: Let  $e$  be an edge with label  $(12)$  on  $S_1^2$ , and let  $e_+$  and  $e_-$  be the copies of  $e$  in  $\partial M_1$ . Let  $e'$  be the corresponding edge on  $S_2^2$ , and let  $e'_+$  and  $e'_-$  be the corresponding copies in  $\partial M_2$ . Then we identify  $e_+$  with  $e'_-$ , and identify  $e_-$  with  $e'_+$ , respectively. All boundary edges of  $M_1 \cup M_2 \cup M_3$  are identified in this fashion, and we have a closed surface. This is the desired  $M^2$ .

Figure 2: How to construct  $M^2$ 

The classification of simple branched coverings was studied by J. Lüroth [15], A. Clebsch [6], A. Hurwitz [10], and others. The classification theorem is stated as follows.

**Theorem 3.1** *Let  $f : M^2 \rightarrow S^2$  and  $f' : M^{2'} \rightarrow S^2$  be  $d$ -fold simple branched coverings with branch sets  $L$  and  $L'$ , respectively. We assume that  $M^2$  and  $M^{2'}$  are connected. Then  $f$  and  $f'$  are equivalent if and only if  $\#L = \#L'$ .*

Hurwitz [10] studied branched coverings by using of a system of monodromies of meridian elements of the branch set, called a *Hurwitz system*, and studied when two systems

present the same (up to equivalence) branched coverings.

A Hurwitz system depends on a system of generating set of  $\pi_1(S^2 \setminus L, *)$ . For a generating system depicted in the middle of Figure 1, the Hurwitz system is

$$\alpha = ((12), (12), (12), (12), (23), (23)).$$

Besides a choice of a generating system, a Hurwitz system depends on the identification of  $\{1, 2, \dots, d\}$  and the fiber  $f^{-1}(*)$ .

Two Hurwitz systems present the same (up to equivalence) braid monodromy if and only if they are related by a finite sequence of *Hurwitz moves* and *conjugations*. The *Hurwitz moves* are

$$(a_1, \dots, a_k, a_{k+1}, \dots, a_n) \mapsto (a_1, \dots, a_{k+1}, a_{k+1}^{-1} a_k a_{k+1}, \dots, a_n)$$

for  $k = 1, \dots, n-1$  and their inverse moves. *Conjugations* are

$$(a_1, \dots, a_n) \mapsto (g^{-1} a_1 g, \dots, g^{-1} a_n g)$$

for  $g \in S_d$ . When two Hurwitz systems are related by a finite sequence of Hurwitz moves and conjugations, we say that they are *HC-equivalent*. (*H* and *C* stand for Hurwitz and conjugation.)

Due to Hurwitz [10], the classification theorem is stated as follows.

**Theorem 3.2** *Let  $f : M^2 \rightarrow S^2$  be a  $d$ -fold simple branched covering. Assume that  $M^2$  is connected. Any Hurwitz system of  $f$  is HC-equivalent to*

$$((12), \dots, (12), (23), (23), (34), (34), \dots, (d-1, d), (d-1, d)).$$

(The number of  $(12)$ s is a positive even number, and for each  $i = 2, \dots, d-1$ , a pair of  $(i, i+1)$  appears.)

In the next section, we will introduce the notion of a *chart*, called a *permutation chart* or an  $S_d$ -*chart*, that describes a branched covering or its monodromy. The chart method helps us to construct  $M^2$  from a monodromy, and to understand the classification theorem well.

## 4 Permutation charts or $S_d$ -charts ( $m = 2$ )

We denote by  $\tau_i$  the transposition  $(i \ i+1)$ . The symmetric group  $S_d$  is generated by  $\tau_1, \dots, \tau_{d-1}$ , and has a group presentation

$$S_d = \left\langle \tau_1, \dots, \tau_{d-1} \left| \begin{array}{ll} \tau_i \tau_j \tau_i = \tau_j \tau_i \tau_j & (|i-j|=1) \\ \tau_i \tau_j = \tau_j \tau_i & (|i-j|>1) \\ \tau_i^2 = e \end{array} \right. \right\rangle.$$

**Definition 4.1** A *permutation chart* of degree  $d$  or an  $S_d$ -*chart* is a labeled graph in  $S^2$  such that each edge is labeled in  $\{1, \dots, d-1\}$  and each vertex is as in Figure 3. We call a vertex a *black vertex*, a *crossing* or a *white vertex* if the valency of the vertex is 1, 4 or 6, respectively.

By the correspondence  $i \leftrightarrow \tau_i = (i \ i+1) \in S_d$ , the labels of a chart are assumed to be transpositions in  $S_d$  (see Figure 1). Figure 4 is an example of an  $S_4$ -chart, or a permutation chart of degree 4.

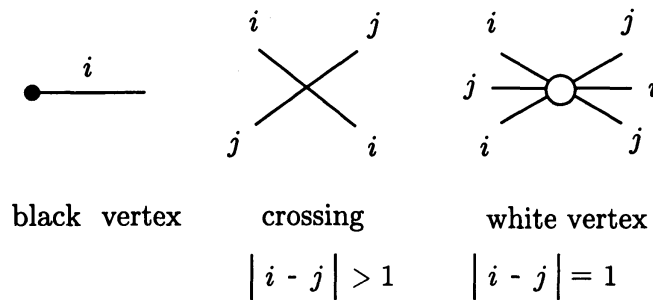


Figure 3: Vertices of a  $S_d$ -chart

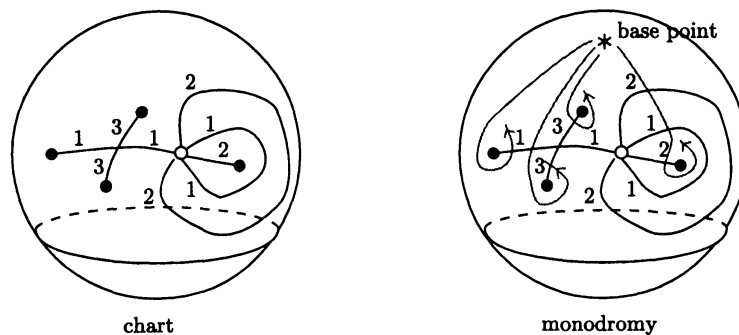


Figure 4: A  $S_4$ -chart  $\Gamma$  and the induced monodromy  $\rho_\Gamma$

For a chart  $\Gamma$ , we consider a monodromy

$$\rho_\Gamma : \pi_1(S^2 \setminus L) \rightarrow S_d, \quad [\ell] \mapsto [\text{intersection word of } \ell \text{ w.r.t. } \Gamma],$$

where  $L (= L_\Gamma)$  is the set of black vertices. An intersection word is a sequence of elements of  $\{1, \dots, d-1\}$ , which is regarded as an element of  $S_d$  by the correspondence  $i \leftrightarrow \tau_i = (i \ i+1) \in S_d$ .

**Example 4.2** Let  $\Gamma$  be an  $S_4$ -chart depicted in the left of Figure 4. When we take a Hurwitz generating system as in the figure, we have a Hurwitz system  $(\tau_1, \tau_1\tau_3\tau_1, \tau_3, \tau_2\tau_1\tau_2\tau_1\tau_2)$ . It is equal to  $(\tau_1, \tau_3, \tau_3, \tau_1)$ . And it is Hurwitz equivalent to  $(\tau_1, \tau_1, \tau_3, \tau_3)$ .

**Theorem 4.3** Let  $f : M^2 \rightarrow S^2$  be a  $d$ -fold simple branched covering, and  $\rho_f$  a monodromy of  $f$ . There exists a chart  $\Gamma$  such that  $\rho_\Gamma = \rho_f$ . (We call  $\Gamma$  a *chart description* of  $f$  or  $\rho_f$ .)

Local moves on permutation charts illustrated in Figure 5 are called *chart moves*. (Ignore the orientations on edges.) Two charts are said to be *equivalent* or *chart move*

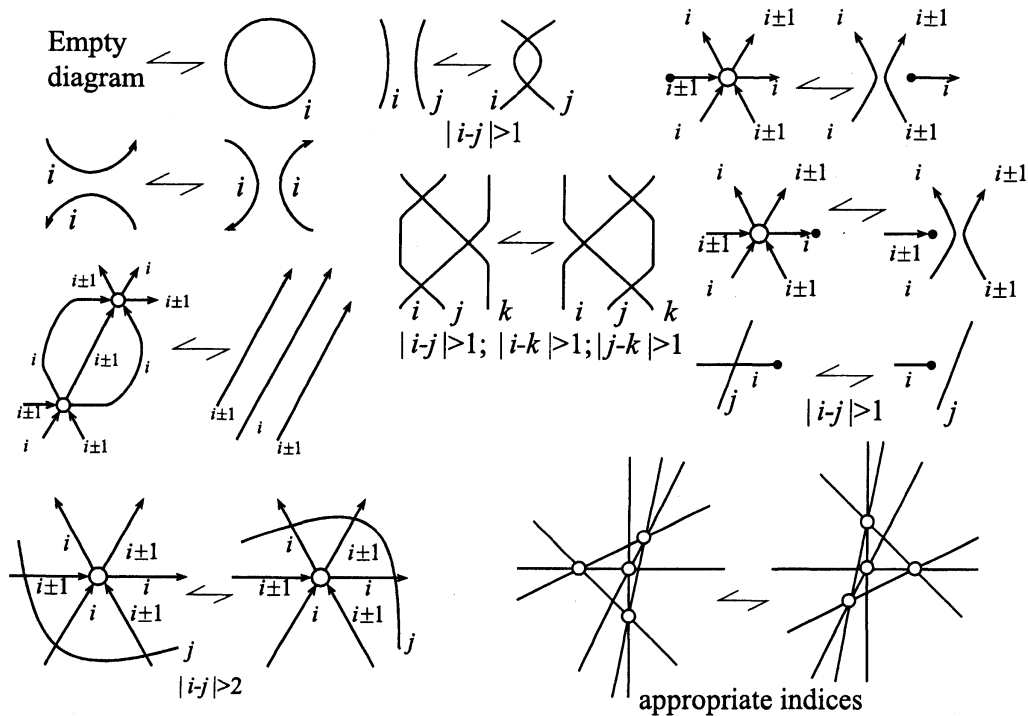


Figure 5: Chart moves

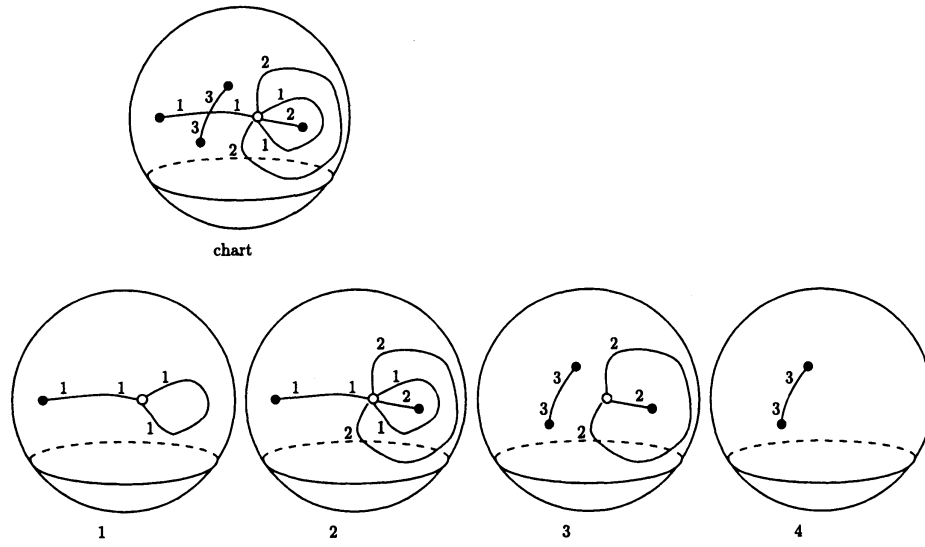
*equivalent* if they are related by a finite sequence of chart moves and ambient isotopies of  $S^2$ .

**Theorem 4.4** *Let  $f$  and  $f'$  be  $d$ -fold simple branched covering of  $S^2$ , and let  $\Gamma$  and  $\Gamma'$  be their chart descriptions.  $f$  is equivalent to  $f'$  if and only if  $\Gamma$  is equivalent to  $\Gamma'$ .*

Using an example, we explain how to construct  $M^2$  from a chart description. Let  $\Gamma$  be an  $S_4$ -chart depicted in the top of Figure 6. Consider four copies of  $S^2$  labeled by 1, 2, 3 and 4, say  $S_1^2$ ,  $S_2^2$ ,  $S_3^2$  and  $S_4^2$ , respectively. On the copy  $S_1^2$ , draw the edges with label 1 of  $\Gamma$ , on the copy  $S_2^2$ , draw the edges with label 1 of  $\Gamma$  and those with label 2, on the copy  $S_3^2$ , draw the edges with label 2 of  $\Gamma$  and those with label 3, and on the copy  $S_4^2$ , draw the edges with label 3. Cut the four 2-spheres along the edges, and we obtain compact surfaces, say  $M_1$ ,  $M_2$ ,  $M_3$  and  $M_4$ , as in the bottom of Figure 6. The surface  $M^2$  is obtained from the union  $\cup_{i=1}^4 M_i$  by identifying the boundary as follows: Let  $e$  be an edge with label 1 on  $S_1^2$ , and let  $e_+$  and  $e_-$  be the copies of  $e$  in  $\partial M_1$ . Let  $e'$  be the corresponding edge on  $S_2^2$ , and let  $e'_+$  and  $e'_-$  be the corresponding copies in  $\partial M_2$ . Then we identify  $e_+$  with  $e'_-$ , and identify  $e_-$  with  $e'_+$ , respectively. All boundary edges of  $\cup_{i=1}^4 M_i$  are identified in this fashion, and we have a closed surface. This is the desired  $M^2$ .

At a white vertex, 3 sheets are gathering as in Figure 7.

**Theorem 4.5** *Any chart description of  $f : M^2 \rightarrow S^2$  with connected  $M$  is equivalent to a chart as in Figure 8.*

Figure 6: How to construct  $M^2$ 

This theorem is quite easily proved. As a corollary of this theorem, we have the classification theorem (Theorem 3.1).



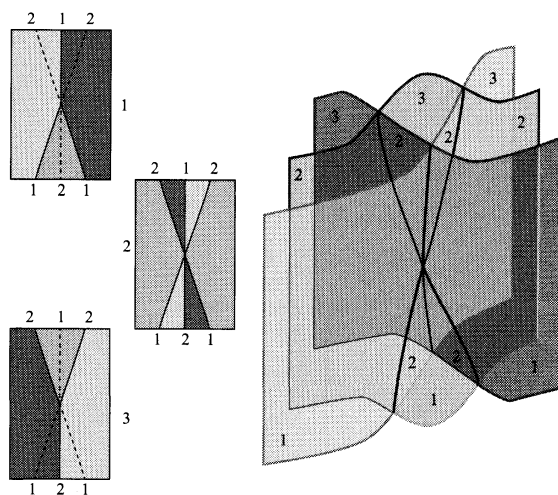


Figure 7: Three sheets gather around a white vertex.

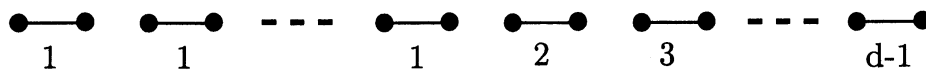


Figure 8: A chart in a normal form

## 5 Braid charts or $B_d$ -charts ( $m = 2$ )

Let  $\sigma_i$  ( $i = 1, \dots, d-1$ ) be the standard generators of the braid group  $B_d$ . Then  $B_d$  has a group presentation

$$B_d = \left\langle \sigma_1, \dots, \sigma_{d-1} \left| \begin{array}{ll} \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & (|i-j|=1) \\ \sigma_i \sigma_j = \sigma_j \sigma_i & (|i-j|>1) \end{array} \right. \right\rangle.$$

**Definition 5.1** A *braid chart* of degree  $d$  or a  $B_d$ -chart is a labeled and oriented graph in  $S^2$  such that each edge is labeled in  $\{1, \dots, d-1\}$  and each vertex is as in Figure 9. We call a vertex a *black vertex*, a *crossing* or a *white vertex* if the valency of the vertex is 1, 4 or 6, respectively. The arrow at a black vertex in this figure is suppressed since it may either be incoming or outgoing.

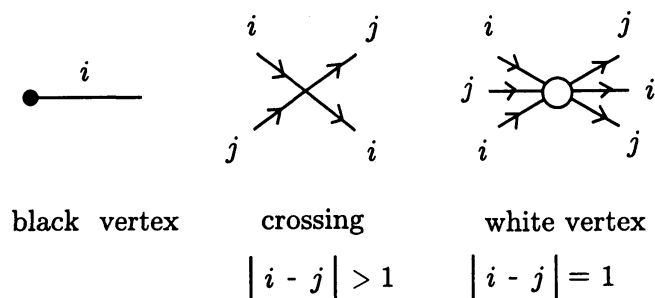


Figure 9: Vertices of a  $B_d$ -chart

By the correspondence  $i \leftrightarrow \sigma_i = (i \ i+1) \in B_d$ , the labels of a chart are assumed to present the standard generators in  $B_d$ . Figure 10 is an example of a  $B_4$ -chart, or a braid chart of degree 4.

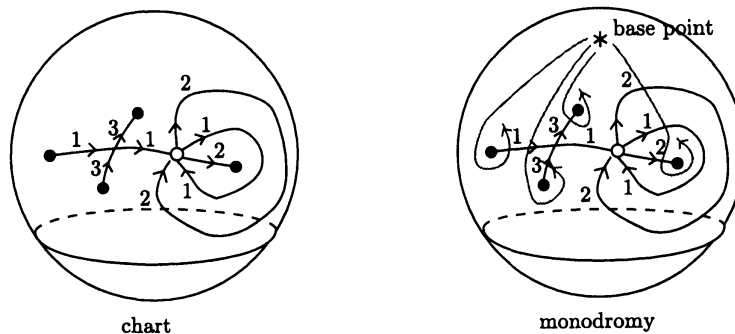


Figure 10: A  $B_4$ -chart  $\Gamma$  and the induced monodromy  $\rho_\Gamma$

Forgetting orientations of the edges from a braid chart, we obtain a permutation chart. Thus we often call a permutation chart an *unoriented chart*, and a braid chart an *oriented chart*.

**Definition 5.2** A permutation chart is called *orientable* if one can give orientations to the edges to make it a braid chart. Otherwise it is called *nonorientable*.

For a braid chart  $\Gamma$  of degree  $d$ , we consider a monodromy

$$\rho_\Gamma : \pi_1(S^2 \setminus L) \rightarrow B_d, \quad [\ell] \mapsto [\text{intersection word of } \ell \text{ w.r.t. } \Gamma],$$

where  $L (= L_\Gamma)$  is the set of black vertices. An intersection word is a word of  $\{1, \dots, d-1\}$ , which is regarded as an element of  $B_d$  by the correspondence  $i \leftrightarrow \sigma_i = (i \ i+1) \in S_d$ .

**Example 5.3** Let  $\Gamma$  be a  $B_4$ -chart depicted in the left of Figure 10. When we take a Hurwitz generating system as in the right of the figure, we have a Hurwitz system

$$(\sigma_1, \sigma_1^{-1}\sigma_3\sigma_1, \sigma_3^{-1}, \sigma_2^{-1}\sigma_1^{-1}\sigma_2^{-1}\sigma_1\sigma_2).$$

It is equal to  $(\sigma_1, \sigma_3, \sigma_3^{-1}, \sigma_1^{-1})$ . And it is Hurwitz equivalent to  $(\sigma_1, \sigma_1^{-1}, \sigma_3, \sigma_3^{-1})$ .

Let  $D^2 \times S^2$  be a tubular neighborhood of a standardly embedded 2-sphere in  $R^4$ .

**Definition 5.4** A PL embedding  $g : M^2 \rightarrow D^2 \times S^2 \subset R^4$  is a (*simple*) *embedded 2-dimensional braid*, or a *surface braid*, of degree  $d$  if the composition  $M^2 \rightarrow D^2 \times S^2 \rightarrow S^2$  is a  $d$ -fold (simple) branched covering.

For a (simple or nonsimple) embedded 2-dimensional braid  $g : M^2 \rightarrow D^2 \times S^2 \subset R^4$  of degree  $m$ , we can consider a *monodromy*  $\rho (= \rho_g) : \pi_1(S^2 \setminus L, *) \rightarrow B_d$ , where  $L (= L_g)$  is the branch set of the branched covering  $M^2 \rightarrow D^2 \times S^2 \rightarrow S^2$ .

**Theorem 5.5** For any simple embedded 2-dimensionnal braid  $g : M^2 \rightarrow D^2 \times S^2 \subset R^4$ , there exists a braid chart  $\Gamma$  such that  $\rho_g = \rho_\Gamma$ . ( $\Gamma$  is called a *chart description* of  $g$ .)

Two charts are *equivalent* or *chart move equivalent* if they are related by a finite sequence of chart moves (Figure 5) and ambient isotopes of  $S^2$ .

**Theorem 5.6** Let  $\Gamma$  and  $\Gamma'$  be chart descriptions of simple embedded 2-dimensional braids  $g$  and  $g'$  of the same degree.  $g$  and  $g'$  are equivalent if and only if  $\Gamma$  is equivalent to  $\Gamma'$ .

Let  $\text{pr} : D^2 \times S^2 \rightarrow S^2$  be the projection.

Let  $f : M^2 \rightarrow S^2$  be a simple branched covering, and  $g : M^2 \rightarrow D^2 \times S^2$  a simple embedded 2-dimensional braid.

**Definition 5.7** If  $\text{pr} \circ g = f$ , then we call  $g$  an *embedded lift* of  $f$ , and we say that  $f$  is *liftable*.

**Theorem 5.8** Any simple branched covering of  $S^2$  is liftable.

**Remark 5.9** For any simple branched covering, there exists a chart description that is an orientable permutation chart. Not every chart description of a liftable simple branched covering is orientable.

For further topics related to braid charts and 2-dimensional braids, refer to [4, 5, 13, 14].

## 6 Three dimensional case ( $m = 3$ )

We recall the theorem due to H. M. Hilden [8] and J. M. Montesinos [17] again.

**Theorem 6.1 (Hilden and Montesinos)** *Any closed oriented and connected 3-manifold can be represented as a 3-fold simple branched covering of  $S^3$  branched over a link (or a knot).*

Let  $f : M^3 \rightarrow S^3$  be a  $d$ -fold simple branched covering of  $S^3$  branched along  $L$ . Let  $\underline{f} : M^3 \setminus f^{-1}(L) \rightarrow S^3 \setminus L$  be the associated covering. The covering map  $\underline{f}$  is determined by a monodromy  $\rho : \pi_1(S^3 \setminus L, *) \rightarrow S_d$ .

**Remark 6.2** The monodromy  $\rho$  sends each meridian to a transposition. Conversely, any homomorphism  $\rho : \pi_1(S^3 \setminus L, *) \rightarrow S_d$  sending each meridian to a transposition is a monodromy of a simple branched covering.

Figure 11 is a knot with a monodromy in  $S_3$ . In general, by  $(12) \mapsto B = \text{blue}$ ,  $(23) \mapsto R = \text{red}$ ,  $(13) \mapsto G = \text{green}$ , we obtain a link with Fox's 3-coloring that represents a 3-manifold. See Figure 12.

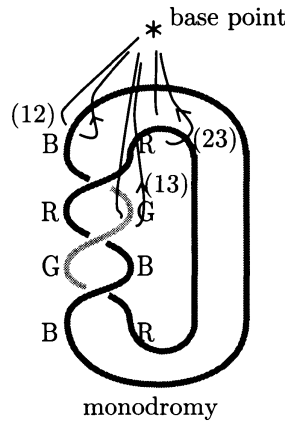


Figure 11: A knot with a monodromy in  $S_3$

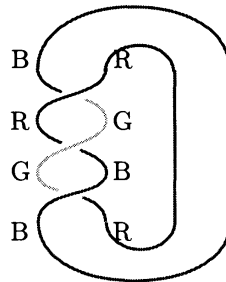


Figure 12: A 3-colored knot

The local move depicted in Figure 13 was introduced by Montesinos, that does not change the 3-manifold.

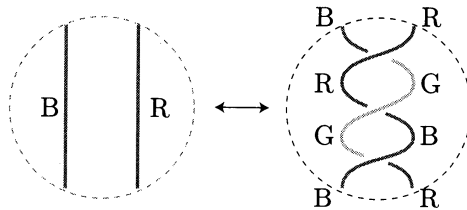


Figure 13: A Montesinos move

Applying a Montesinos move to the 3-colored knot in Figure 12, we have a 3-colored trivial link as in Figure 14, which represents  $S^3$ . Thus it is a nontrivial representation of  $S^3$  as a 3-fold simple branched covering.

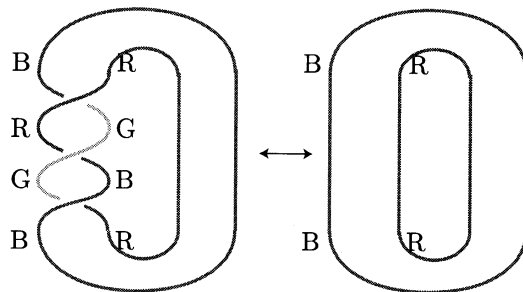


Figure 14: Two representations of  $S^3$  as a 3-fold simple branched covering

**Definition 6.3** A homomorphism  $\rho : \pi_1(S^3 \setminus L, *) \rightarrow S_d$  sending each meridian to a transposition is called a *simple* homomorphism.

A link  $L$  with a simple homomorphism  $\rho : \pi_1(S^3 \setminus L, *) \rightarrow S_d$  induces a  $d$ -fold simple branched covering  $f : M^3 \rightarrow S^3$  branched along  $L$ .

Let  $D^2 \times S^3$  be a tubular neighborhood of a standardly embedded  $S^3$  in  $R^5$ , and let  $\text{pr} : D^2 \times S^3 \rightarrow S^3$  be the projection.

**Definition 6.4** A (*simple*) (*embedded/immersed*) 3-dimensional braid is a PL map  $g : M^3 \rightarrow D^2 \times S^3 \subset R^5$  such that

- (1) the composition  $\text{pr} \circ g : M^3 \rightarrow S^3$  is a (simple) branched covering,
- (2)  $g$  is an embedding/immersion, and
- (3) if  $g$  is an immersion, the image of multipoint set under  $\text{pr}$  is a link in  $S^3$  avoiding the branch set.

Let  $f : M^3 \rightarrow S^3$  be a branched covering and  $g : M^3 \rightarrow D^2 \times S^3 \subset R^5$  an embedded/immersed 3-dimensional braid. If  $\text{pr} \circ g = f$ , then we call  $g$  an *embedded/immersed lift* of  $f$ .

**Theorem 6.5** For any 2-fold simple branched covering  $f : M^3 \rightarrow S^3$ , there exists an embedded lift  $g : M^3 \rightarrow D^2 \times S^3 \subset R^5$ .

**Theorem 6.6** For any  $d$ -fold simple branched covering  $f : M^3 \rightarrow S^3$ , there exists an immersed lift  $g : M^3 \rightarrow D^2 \times S^3 \subset R^5$ .

**Problem 6.7** When does a simple branched covering  $f : M^3 \rightarrow S^3$  have an embedded lift?

In terms of groups

Let  $L$  be a link in  $S^3$ . Recall Definition 6.3 that a homomorphism  $f : \pi_1(S^3 \setminus L) \rightarrow S_d$  is *simple* if each meridian is mapped to a transposition.

**Definition 6.8** A homomorphism  $g : \pi_1(S^3 \setminus L) \rightarrow B_d$  is *simple* if each meridian is mapped to a conjugate of  $\sigma_i$  or  $\sigma_i^{-1}$ .

Let  $\text{pr} : B_d \rightarrow S_d$  be the natural projection.

Let  $f : \pi_1(S^3 \setminus L) \rightarrow S_d$  and  $g : \pi_1(S^3 \setminus L) \rightarrow B_d$  be simple homomorphisms. If  $\text{pr} \circ g = f$ , we say that  $g$  is a *simple lift* of  $f$ .

**Problem 6.9** Characterize a simple homomorphism  $f : \pi_1(S^3 \setminus L) \rightarrow S_d$  that has a simple lift.

In terms of quandles

For an oriented link  $L$  in  $S^3$ , let  $Q(S^3, L)$  denote the fundamental quandle of  $L$  ([7, 12, 16]).

Let  $T_d$  be the set of transpositions in  $S_d$ . Let  $A_d$  be the set of conjugates of standard generators of  $B_d$  and their inverses. The sets  $A_d$  and  $T_d$  are regarded as quandles by conjugation. The natural projection  $\text{pr} : B_d \rightarrow S_d$  induces the projection  $\text{pr} : A_d \rightarrow T_d$  which is a surjective quandle homomorphism.

**Problem 6.10** Characterize a quandle homomorphism  $f : Q(S^3, L) \rightarrow T_d$  that has a lift  $\tilde{f} : Q(S^3, L) \rightarrow A_d$ , i.e.,  $\text{pr} \circ \tilde{f} = f$ .

In general we are interested in the following problem.

**Problem 6.11** Let  $p : \tilde{Q} \rightarrow Q$  be a surjective quandle homomorphism. Characterize a quandle homomorphism  $f : P \rightarrow Q$  that has a lift  $\tilde{f} : P \rightarrow \tilde{Q}$  with respect to  $p$ , i.e.,  $f = p \circ \tilde{f}$ .

## 7 2-dimensional charts ( $m = 3$ )

Permutation charts and braid charts are graphs in  $S^2$  describing simple branched coverings of  $S^2$  and simple 2-dimensional braids. These notions are generalized into higher dimensions. The authors are studying 2-dimensional permutation charts and 2-dimensional braid charts. They are used to describe simple branched coverings of  $S^3$  and simple 3-dimensional braids, respectively.

- A simple embedded branched covering of  $S^3 \Leftarrow$  a 2-dimensional permutation chart.
- A simple embedded 3-dimensional braid  
 $\Leftarrow$  a 2-dimensional braid chart, or a *curtain*.
- A simple immersed 3-dimensional braid  
 $\Leftarrow$  a 2-dimensional braid chart (or a curtain) with/without *nodal curves*.

A 2-dimensional (permutation or braid) chart is a 2-dimensional subcomplex of  $S^3$  whose faces are (unoriented or oriented), and labeled by integers in  $\{1, \dots, d-1\}$  such that certain conditions around edges are assumed. We show some examples of 2-dimensional charts.

**Example 7.1** In Figure 15 a trefoil  $L$  with a Seifert surface  $F$  is depicted. When we forget the orientation of  $F$ , the surface  $F$  is regarded as a 2-dimensional permutation chart of degree 2, or a 2-dimensional  $S_2$ -chart. (We assume that the sheet has label 1.) It induces a monodromy  $\pi_1(S^3 \setminus L, *) \rightarrow S_2$  using intersection words. It describes a simple embedded 2-fold branched covering  $f_F : M^3 \rightarrow S^3$  with branch set  $L$ .

When we use the orientation of  $F$ , the surface  $F$  is regarded as a 2-dimensional braid chart of degree 2, or a 2-dimensional  $B_2$ -chart. (We assume that the sheet has label 1.) It induces a monodromy  $\pi_1(S^3 \setminus L, *) \rightarrow B_2$  using intersection words. It describes a simple embedded 3-dimensional braid  $g_F : M^3 \rightarrow D^2 \times S^3 \subset R^5$ .

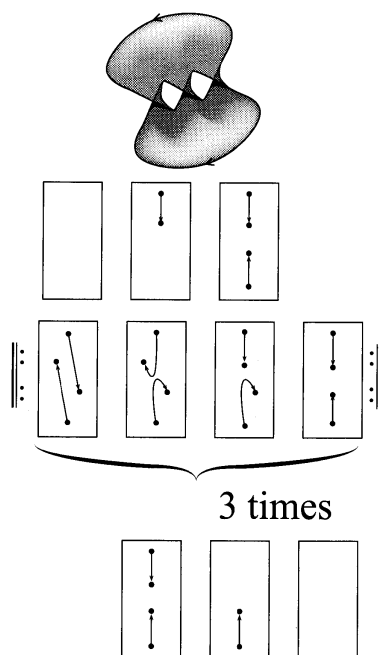


Figure 15: A trefoil with a Seifert surface

**Example 7.2** In Figure 16 a knot  $5_2$ , denoted by  $L$  here, with a Seifert surface, denoted by  $F$ , is depicted. Figure 17 shows a motion picture of  $L$  and  $F$ .

When we forget the orientation of  $F$ , the surface  $F$  is regarded as a 2-dimensional permutation chart of degree 2, or a 2-dimensional  $S_2$ -chart. (We assume that the sheet has label 1.) It induces a monodromy  $\pi_1(S^3 \setminus L, *) \rightarrow S_2$  using intersection words. It describes a simple embedded 2-fold branched covering  $f_F : M^3 \rightarrow S^3$  with branch set  $L$ .

When we use the orientation of  $F$ , the surface  $F$  is regarded as a 2-dimensional braid chart of degree 2, or a 2-dimensional  $B_2$ -chart. (We assume that the sheet has label 1.) It induces a monodromy  $\pi_1(S^3 \setminus L, *) \rightarrow B_2$  using intersection words. It describes a simple embedded 3-dimensional braid  $g_F : M^3 \rightarrow D^2 \times S^3 \subset R^5$ .

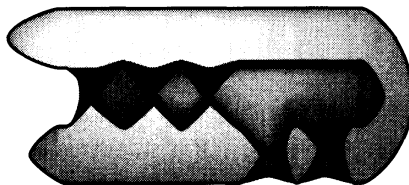


Figure 16: A knot  $5_2$  with a Seifert surface

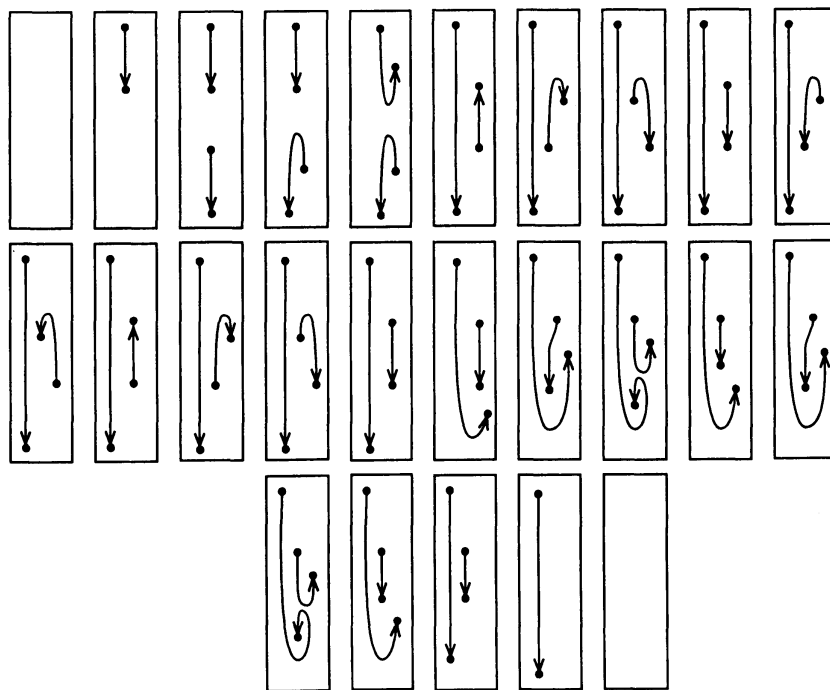


Figure 17: A motion picture

**Example 7.3** Figures 18 and 19 show a 3-colored trefoil and a 2-dimensional braid chart. Let  $L$  be the trefoil knot depicted on the left of Figure 18. Let  $\rho : \pi_1(S^3 \setminus L) \rightarrow S_3$  be the



monodromy described by the 3-coloring. In the right side of Figures 18 and 19, a motion picture of a 2-dimensional braid chart  $\Gamma$  of degree 3 is depicted. The monodromy induced from  $\Gamma$  is  $\rho$ .

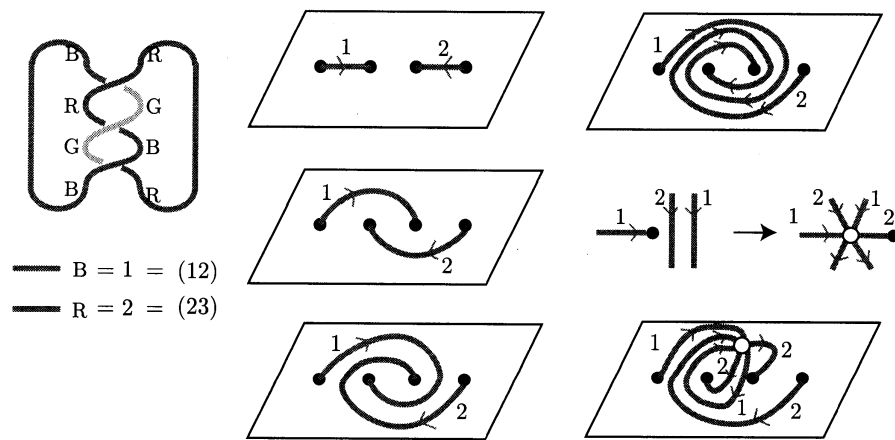


Figure 18: A 3-colored trefoil and a 2-dimensional braid chart

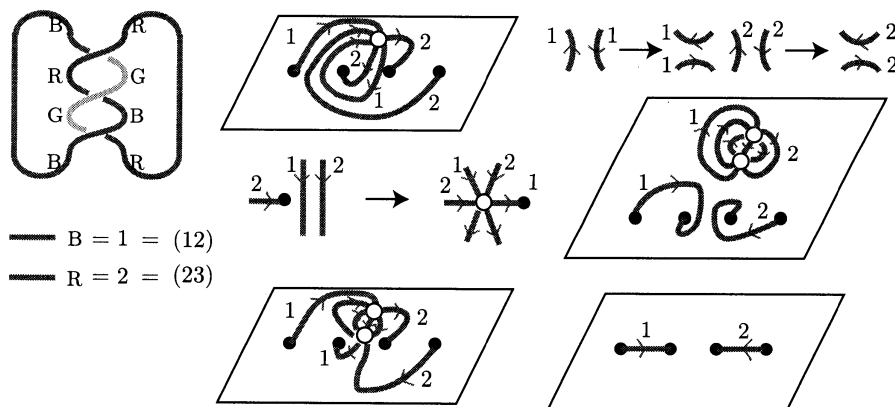


Figure 19: A 3-colored trefoil and a 2-dimensional braid chart

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